



CLIENT RELATIONSHIP SUMMARY

August 3, 2020

MD Global Partners, LLC (“MDGP”) is registered with the Securities and Exchange Commission (“SEC”) and the Financial Industry Regulatory Authority (FINRA) as a broker-dealer. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. This Client Relationship Summary provides details about our brokerage services, fees, and other important information.

Free and simple tools are available for investors to research firms and financial professionals at Investor.gov/CRS. You can also find frequently asked questions and educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice do you offer?

MD Global Partners, LLC offers the following brokerage services to retail and institutional investors : retailing corporate equity securities, performing trade executions, acting as a placement agent (or finder) for hedge funds, private equity funds, and foreign issuers, offering third party marketing and providing M&A advisory services, including buy side transactions , buyouts, including management led buyouts, recapitalizations, review of strategic alternatives, sell side transactions/exclusive sales, special committee representation, spin-offs, and strategic partner and joint venture agreements.

- We do not monitor your brokerage account. However, your financial professional may voluntarily review your holdings from time to time and may or may not make recommendations to you based on these reviews. You make the final decision regarding the purchase or sale of investments.
- All accounts held at the firm are Non-Discretionary accounts. The client has the last word in the investment decisions.
- The firm does NOT sell proprietary products or offer proprietary products to its clients. Clients at the firm make the decision which products they will purchase for their accounts.
- The firm does NOT have any account minimums.

For additional information, on products that the firm offers, please visit our website: <http://www.mdgpartners.com/>

Ask your financial professional:

- **Given my financial situation, should I choose a brokerage service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?**

What fees do you charge?

You will incur commission charges when you buy or sell securities, and this amount will vary depending on the size and type of transaction. Clients are charged an Additional Fixed Commission for every transaction in their account of \$30.00. For a full list of the fees, please visit the “Fee” section at the firm’s website. Please note, conflicts can occur with your Representative. Registered Reps charge commissions, and the more transactions that clients make, the more commissions will be charged to the customer accounts. Fees assessed to customer accounts will negatively affect the overall profitability to the account.

Investors in private securities offerings will be indirectly assessed fees upon closing through the structure of private securities offerings. The amount and type of these fees is disclosed in the respective offering documentation (e.g, Private Placement Memorandum (PPM))

The firm charges for a multitude of fees related to customer accounts. This can include Account transfers, Returned Checks, IRA's, Annual Fees, Low Priced Security Reviews, Wire Transfers. A complete list is located at: www.mdgpartners.com

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, a full fee schedule is located on the firm's website at: <http://www.mdgpartners.com/>

What are your legal obligations to clients when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. **Here are some examples to help you understand what this means:**

Ask your financial professional:

- **How might your conflicts of interest affect me, and how will you address them?**

The firm and its Registered Representatives earn by charging its clients commissions. The amount of transactions recommended by your representative can negatively affect the value of your account. More transactions can equal more commissions and/or fees to the Representative and/or Firm. This effect could cause your account to decline in value. Your representative could recommend more transactions that might negatively impact the value of your account. The Firm also earns through its fees. Additional fixed commissions may be shared with its Registered Representatives. The firm may earn on its Investment Banking services through Private Placements or Initial Public Offerings. The firm may also earn a percentage on margin accounts charged to its customers.

How do your financial professionals make money?

The firms Registered Representatives earn by charging commissions to their clients. This may cause a conflict of interest to the clients in that the more transactions the Representatives solicit to their clients, the more the Representatives can earn.

Do you or your financial professionals have legal or disciplinary history?

Yes. You can visit Investor.gov/CRS for a free and simple search tool to research our firm and financial professionals.

Ask your financial professional:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

For additional information about MD Global Partners, LLC, or to request a copy of this relationship summary please visit www.mdgpartners.com. You may also call (212)308-2864 to request up to date information or a copy of this relationship summary.

Ask your financial professional:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer?**
- **Who can I talk to if I have concerns regarding this person's treatment of my account?**